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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Vlad J. Novotny
Assignee: Active Optical Networks, Inc.
Title: "DEEP-WELL LITHOGRAPHY PROCESS FOR FORMING MICRO-ELECTRO-MECHANICAL STRUCTURES"
Serial No.: 10/027,882 ✓ Filing Date: 12/21/01
Examiner: Ahmed, Shamim Art Unit: 1765
Docket No.: AO-001-2D

Mail Stop Issue Fee
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

SUBSTANCE OF THE INTERVIEW SUMMARY

Sir:

All participants (applicant, applicant's representative, PTO personnel):

- (1) Ahmed, Shamim (Examiner, PTO personnel)
- (2) Arthur J. Behiel (applicant's representative)

Type of Interview:

- ☒ Telephonic
- ☐ Video Conference
- ☐ Personal

Exhibit shown or demonstration conducted: ☐ Yes or ☒ No

Claim(s) discussed: None

Agreement with respect to the claims:

☐ was reached ☐ was not reached ☒ not applicable

Identification of prior art discussed: None

Identification of the principal proposed amendments of a substantive nature discussed:

The examiner noted that our Supplemental Application Data Sheet mailed on Monday,

November 17, 2003, had Domestic Priority Claim information that differed from the Filing Receipt. The examiner asked us to clarify which information is correct.

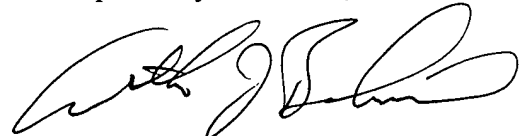
A brief identification of the general thrust of the principal arguments presented to the Examiner: On August 31, 2004, I emailed replacement paragraph one to the examiner rewording the Domestic Priority Claim information as we discussed and as shown below:

[0001] This application ~~is a divisional of claims priority under 35 U.S.C. §119(e) from~~ U.S. Patent Application serial No. 10/032,198 entitled "Multi-Axis Micro-Electro-Mechanical Actuator," by Vlad J. Novotny and Yee-Chung Fu, filed on December 20, 2001, ~~is a continuation-in-part of~~ U.S. Patent Application serial No. 09/865,981 entitled "Optical Cross Connect Switching Array System With Optical Feedback," by Vlad J. Novotny, filed on May 24, 2001, and ~~claims priority under 35 U.S.C. §119(e) from~~ U.S. Patent Application serial No. 60/206,744, entitled "Optical Cross Connect Switching Array Systems With Optical Feedback Control," by Vlad J. Novotny, filed May 24, 2000. This application additionally relates to U.S. Patent Application serial No. 10/028,657 entitled "Pattern-Transfer Process for Forming Micro-Electro-Mechanical Structures," by Vlad J. Novotny, filed December 21, 2001. Each of the above-identified documents is incorporated herein by reference.

A general indication of any other pertinent matters discussed: None

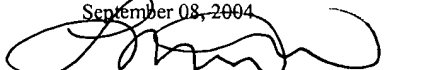
If appropriate, the general results or outcome of the interview (unless already described in the Interview Summary Form completed by the Examiner): Interview Summary mailed from examiner on September 3, 2004.

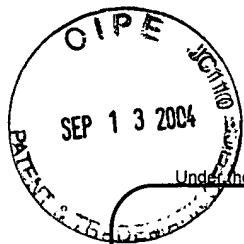
Respectfully submitted,



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I hereby certify that this correspondence is being deposited with the United States Postal Service as first-class mail in an envelope addressed to: Mail Stop Issue Fee, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on Wednesday, September 08, 2004.


Laurie Moreno



TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application Number	10/027,882	
	Filing Date	12/21/2001	
	First Named Inventor	Vlad J. Novotny	
	Art Unit	1765	
	Examiner Name	Ahmed, Shamim	
Total Number of Pages in This Submission	3	Attorney Docket Number	AO-001-2D

ENCLOSURES (Check all that apply)		
<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> After Allowance communication to Technology Center (TC)
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment/Reply	<input type="checkbox"/> Petition	<input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Power of Attorney, Revocation	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Change of Correspondence Address	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	1. Substance of the Interview Summary.
<input type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Request for Refund	
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> CD, Number of CD(s) _____	
<input type="checkbox"/> Response to Missing Parts/Incomplete Application	Remarks	
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	2. Return Receipt Postcard.	
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT		
Firm or Individual name	Silicon Edge Law Group LLP Arthur J. Behiel	
Signature		
Date	September 8, 2004	

CERTIFICATE OF TRANSMISSION/MAILING			
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.			
Typed or printed name	Laurie Moreno		
Signature		Date	September 8, 2004

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: **Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

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